



Sector

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/854,198	05/11/2001	Arvind Puntambekar	P56 (SYCS-013)

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

CONFIRMATION NO. 7925

## FORMALITIES LETTER



\*OC000000006283129\*

Date Mailed: 07/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

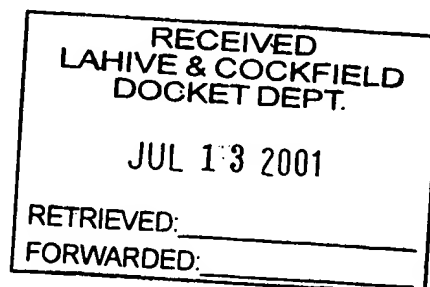
*SP*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/17/2001 BNGUYEN1 00000104 09854198

01 FC:105

130.00 00



LAHIVE

&

COCKFIELD

L L P

COUNSELLORS AT LAW

28 STATE STREET

BOSTON, MASSACHUSETTS 02109-1784

TELEPHONE (617) 227-7400

FAX (617) 742-4214

lc@lahive.com

JOHN A. LAHIVE, JR. (1928-1997)

THOMAS V. SMURZYNSKI

RALPH A. LOREN

GIULIO A. DeCONTI, JR.

ANN LAMPORT HAMMITTE

ELIZABETH A. HANLEY

AMY BAKER MANDRAGOURAS

ANTHONY A. LAURENTANO

KEVIN J. CANNING

JANE E. REMILLARD

DeANN FORAN SMITH

PETER C. LAURO

JEANNE M. DIGIORGIO

DEBRA J. MILASINCIC, Ph.D.

DAVID J. RIKKERS

DAVID R. BURNS

JOHN S. CURRAN

SEAN D. DETWEILER

CYNTHIA L. KANT, Ph.D.

MEGAN E. WILLIAMS, Ph.D.

RICHA NAND

MICHAEL PHILLIPPS \*

LISA M. DiROCCO

SENIOR COUNSEL

JAMES E. COCKFIELD

OF COUNSEL

JEREMIAH LYNCH

WILLIAM A. SCOFIELD, JR.

PATENT AGENTS

PETER S. STECHER

THEODORE R. WEST

SHAYNE Y. HUFF, Ph.D.

HATHAWAY P. RUSSELL

DANIEL B. KO

TECHNICAL SPECIALISTS

MARIA LACCOTRIPE ZACHARAKIS, Ph.D. \*\*

CYNTHIA M. SOROOS

PETER W. DINI, Ph.D.

EUIHOON LEE

JENNIFER K. ROSENFELD

ALLAN TAMESHTIT, Ph.D.

CATHERINE E. McPHERSON

ERIC F. WAGNER, Ph.D.

SHAHID HASAN, Ph.D.

JACOB G. WEINTRAUB

JONATHAN M. SPARKS, Ph.D.

CRISTIN E. HOWLEY, Ph.D.

\* Admitted in NY only

\*\* Passed the Patent Bar Examination

September 12, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/854,198  
Title: *Methods and Apparatus for Configuration Information Recovery*  
Filed: May 11, 2001  
Inventor: Arvind Puntambekar  
Our Reference No.: SYCS-013

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice to File Missing Parts of Nonprovisional Application;
2. Executed Declaration, Petition and Power of Attorney for Patent Application;
3. Copy of Formalities Letter;
4. Check in the amount of \$130.00 to cover the surcharge fee; and
5. Return postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *A duplicate of this sheet is enclosed.*

Certificate of First Class Mailing (37 C.F.R. §1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on:

September 12, 2001

Date

Kevin J. Canning, Esq., Reg. No. 35,470

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Kevin J. Canning, Esq.  
Reg. No. 35,470  
Attorney for Applicant



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Arvind Puntambekar

Serial No.: 09/854,198

Filed: May 11, 2001

For: *Methods and Apparatus for Configuration Information Recovery*

Attorney Docket No.: SYCS-013

Group Art Unit: 2633

Examiner: Not Yet Assigned

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

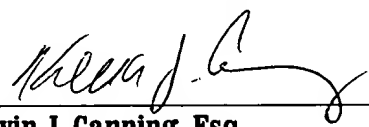
**Certificate of First Class Mailing (37 C.F.R. §1.8(a))**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

September 12, 2001

Date of Signature and of Mail Deposit

By:

  
Kevin J. Canning, Esq.  
Reg. No. 35,470  
Attorney for Applicant

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

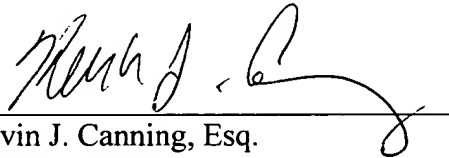
Dear Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application dated July 11, 2001, (the due date for response of which has been extended from September 11, 2001 to September 12, 2001, without penalties or fees, due to the mandatory closing of our office as well as the U.S. Patent and Trademark Office), Applicant's attorney submits herewith an executed Declaration, Petition and Power of Attorney for the above-referenced patent application. Enclosed is a check in the amount of \$130.00 to cover the surcharge fee.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. §§1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *A duplicate of this letter is enclosed.*

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kevin J. Canning", is written over a horizontal line.

Kevin J. Canning, Esq.  
Reg. No. 35,470  
Attorney for Applicant

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Dated: September 12, 2001